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In re Application of:	)	
Mike SOUMOKIL et al.	)	Group Art Unit: Unassigned
Application No.: Unassigned	)	
Filed: Concurrently Herewith	)	Examiner: Unassigned
For: ELECTRONIC DATA RECORD OF	)	
AN INVOICE, THE RECORD	)	
HAVING A DUNNING KEY	)	

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CLAIM FOR PRIORITY**

Sir:


Under the provisions of Section 119 of 35 U.S.C., Applicants hereby claim the benefit of the filing date of European Patent Application No. 03002793.2, filed February 7, 2003, for the above identified United States Patent Application.

In support of Applicants claim for priority, a certified copy of the priority application is filed herewith.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: February 3, 2004

By:   
C. Gregory Gramenopoulos  
Reg. No. 36,532

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**Bescheinigung**

**Certificate**

**Attestation**

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The attached documents  
are exact copies of the  
European patent application  
described on the following  
page, as originally filed.

Les documents fixés à  
cette attestation sont  
conformes à la version  
initialement déposée de  
la demande de brevet  
européen spécifiée à la  
page suivante.

**Patentanmeldung Nr.    Patent application No.    Demande de brevet n°**

**03002793.2**

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

**R C van Dijk**

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LA HAYE, LE

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**Blatt 2 der Bescheinigung  
Sheet 2 of the certificate  
Page 2 de l'attestation**

Anmeldung Nr.:  
Application no.:  
Demande n°: **03002793.2**

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Date of filing:  
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Anmelder:  
Applicant(s):  
Demandeur(s):  
**SAP Aktiengesellschaft  
69190 Walldorf  
GERMANY**

Bezeichnung der Erfindung:  
Title of the invention:  
Titre de l'invention:

**Electronic data record of an invoice, said record having a dunning key**

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

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Internationale Patentklassifikation:  
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**AT/BG/BE/CH/CY/CZ/DE/DK/EE/ES/FI/FR/GB/GR/HU/IE/IT/LI/LU/MC/**

Bemerkungen:  
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Remarques:

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Electronic Data Record of an Invoice, said Record Having a  
Dunning Key

## Background of the Invention

### Field of the Invention.

- 5 The technical field of this invention is in the area of  
electronic data processing. More particularly, the invention  
relates to methods, computer program products and systems for  
electronic data records of invoices.

### Description of the Related Art

- 10 Electronic data records of invoices are known from the state-  
of-the-art. They are used in enterprise resource planning  
software to store the financial data related with a sale of a  
product, and to have these data available for credit management  
and risk management purposes. However, although the data are  
15 stored in the computer system of the respective enterprise, it  
is not in any case certain, whether the invoice has been  
actually paid or not or whether the dispute has been opened on  
the invoice or on the whole sale or on the order process or on  
contract issues or other problems that will prevent a payment  
20 of an invoice. Consequently, if the management of the  
enterprise analyses the financial situation on the basis of  
uncertain data of invoices, the result may be misleading and  
may show that financial situation of the enterprise which is  
different from the real financial situation.
- 25 Thus, there is the need for an electronic data record for  
invoices, which provides a more exact picture of the state of  
the invoice.

### Summary of the Invention

In accordance with the invention, as embodied and broadly described herein, methods and systems consistent with the principles of the invention provide an electronic data record  
5 containing data of an invoice, said record having a plurality of data fields, comprising:

a data field for characterization of the state of the processing of the invoice. (dunning key)

- 10 By using the inventive electronic data record in ERP software, the management of the respective enterprise can get an improved day sales outstanding, a better management of liquidity, an improved credit risk management through more transparency, better customer profiling through company owned credit scores,  
15 better accounts receivable reporting and a better communication with customers

In accordance with another aspect, the invention, as embodied and broadly described herein, methods and systems consistent  
20 with the principles of the invention provide a method for processing the electronic data records by means of one or more processes running in a computer system, comprising:  
calling a dialogue for entering a state by a user.

- 25 The invention is further directed to data structures having electronic data records, to a computer system, a computer program, a computer readable medium and a carrier signal comprising instructions for processing data according to the inventive method and in its embodiments, respectively.

30

Additional objects and advantages of the invention will be set forth in part in the description, or may be learned by practice of the invention. The objects and advantages of the invention



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will be realized and attained by means of the elements and combinations particularly pointed out in the appended claims. Embodiments of the invention are disclosed in the detailed description section and in the dependent claims.

5

It is understood that both the foregoing general description and the following detailed description are exemplary and explanatory only and are not restrictive of the invention, as claimed.

10

### **Brief Description of the Drawings**

The accompanying drawings, which are incorporated in and constitute a part of this specification, illustrate embodiments of the invention and, together with the description, explain the principles of the invention. In the drawings,

15

Fig. 1 is a schematic block diagram of the implementation of the inventive electronic data record within a computer system.

20

Fig. 2 is an exemplary block diagram of the inventive electronic data record and its possible links.

### **Detailed Description**

Computer and program are closely related. As used hereinafter, phrases, such as "the computer provides" and "the program provides or performs specific actions", are convenient abbreviation to express actions by a computer that is controlled by a program or to express that the program or program module is designed to enable the computer to perform the specific action.

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Reference will now be made in detail to the principles of the invention by explaining the invention on the basis of the accompanying drawings. Examples, mentioned therein, are intended to explain the invention and not to limit the  
5 invention in any kind.

Within the concept of this invention, the terms used shall have their usual meaning in the context of the field of data processing unless defined otherwise in the following section:

10

A computer system can be a stand alone computer such as a PC or a laptop or a series of computers connected as a network, e.g. a network within a company, or a series of computers connected via the internet, including any usual peripheral devices,  
15 respectively.

In computer programming languages, an inventive electronic data record may be implemented as one or more lines of one or more tables, each line having one or more fields. In object  
20 orientated programming an electronic data record may be implemented by an instance of a class. The class then has at a plurality of variables and least a variable for the state of the invoice. The class further has a one or more functions, which are operable on the instances (invoices). The fields or  
25 variables contain typical invoice information such as name of customer, addresses, product, price, account no., payment terms, tax, contact person, invoice date, due date or person responsible for changes in the document on the customer side or responsible person for authorization. According to the  
30 invention the electronic data record comprises a data field for characterization of the state of the processing of the invoice. The state field may be implemented as a string field with a length of 1 to 3 or more characters, depending on the number of possible states. Following states may be characterized: cash  
35 collection, special attention, problem indicators on contract

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issues or on invoice content and lay-out issues or on issues to be handled by responsible managers or on escalated matters, internal status, number of days outstanding, payment date of document. These states are examples and not intended to limit  
5 the scope of the invention.

A first embodiment of the electronic data record is characterized in that said data field contains one or more characters for the characterization of said state. The above  
10 mentioned states may be entered into the state field by entering a characteristic character or combination of a few characters into the field, e.g. S1 to Sn for the states 1 to n.

A further embodiment of the electronic data record is  
15 characterized in that said data field is linked to a table, which contains a description of a state. For example, the following descriptions can be entered in that table: cash collection status or on special attention or as problem indicators on contract issues or on invoice content and lay-out  
20 issues or on issues to be handled by responsible managers or on escalated matters.

A further embodiment of the electronic data record is characterized in that the said data field is directly or  
25 indirectly linked to a table, which contains one or more instructions, which depend on said state and which are automatically executable by a computer system.

A further embodiment of the electronic data record is  
30 characterized in that said data field is directly or indirectly linked to a table, which contains assignment of a state to an event, which might occur during the processing of the invoice. The following events may be typically contained: Invoice blocked for payment, because of invoice lay-out not complete or  
35 incomplete invoice reference or quality or quantity issues on

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outstanding documents to be solved by responsible person within company. These events are examples and are not intended to limit the scope of this invention. By means of table 204 one or more proposals 1 to n for a change of the state are assigned to a state.

In a further embodiment, the electronic data record comprises a field for comments. This field may be implemented as a string field of a length of up to 128 characters or more.

A further embodiment of the electronic data record is characterized in that the electronic data record is at least partially accessible via the internet and wherein the content of the data field for the state or a data field for comments is editable via the internet. In order to achieve this, the respective data fields must be deblocked for read/write access in the computer system in which the electronic data record is processed. Other selected fields of the electronic data record, the content of which fields may be of interest for a potential internet user, may be deblocked for read access only.

A further embodiment of the electronic data record is characterized in that the data field for the state is linked to a table, which contains one or more state dependent proposals for changing the state. For example, if the state is that a document has to be authorized by responsible manager, a proposed state after authorization is that the state may be changed in "to be paid". Or if a purchase order number is not correct it may have the state "missing purchase order number", after correction it may have the state "solved purchase order number".

A first embodiment of the inventive method as described in the summary section is characterized in that the method further

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comprises a step of assigning the state entered by the user to said data field for the state. This may be implemented by an independent program, which may collect all changes of states in a predefinable time interval and which writes the changes  
5 periodically into the respective state fields.

A second embodiment of the inventive method is characterized in that the method further comprises selecting or sorting or evaluating or analyzing the electronic invoices according to  
10 the state.

By using this method and general known data base query tools, a user of the inventive method may get lists of all invoices, which fulfill predefinable conditions with respect to the status field, e.g. outstanding invoices, which are likely to be  
15 not paid at all, of incorrect pricing or on incorrect quality or on incorrect quantity or on wrong address or on wrong purchase order or on incorrect contract or on bankruptcy or documents given to collection agency. These results are useful for the evaluation of the company's accounts receivable, credit  
20 risk operation risk or for the evaluation of the paying habits of customers or on the solving time of internal departments, number of incorrect documents by the status group, value of the incorrect documents.

25 A third embodiment comprises d) calling a state dependent work flow.

By using this method, a workflow, which is designed to solve a specific problem may be automatically initiated. For example, a dispute process can be initiated if the a customer sets a  
30 specific state via the internet. Then a mail may be automatically sent to a caseworker in order to solve the problem. Further, a deadline can be issued on an outstanding problem. After experiment date the system may automatically sent a reminder to the responsible persons to take action.

Further, to do list can be initiated for cash collectors on outstanding items, sending a mail to responsible contact persons with the customers.

- 5 A further embodiment comprises the state is selectable according to predefinable events. This gives a user a hint for entering the correct state into the system. The predefinable events may be displayed on a screen, the user selects the event by an interaction with the computer (mouse click, keyboard  
10 stroke) and the computer system automatically enters the state linked with the predefinable events into the state field.

A still further embodiment is a the inventive method for use in an enterprise resource planning software.

15

The invention is now described in more detail by way of reference to the drawings.

- Fig. 1 depicts one example of an implementation of an  
20 embodiment of the invention: A computer system with program modules for processing the inventive electronic data record and for performing the inventive method. Fig. 1 shows a computer system 101 comprising a computer 102 having a CPU 105, a working storage 112 (memory), in which a software application  
25 111 is stored for being processed by CPU 105. Software application 111 comprises program modules 106, 110 for carrying out the processing of the inventive electronic data record and the processing according to the inventive method. The inventive electronic data records are implemented in a table 109  
30 comprising a columns for typical invoice data and a column for the state of the invoice. Table 109 is stored in computer memory 112 and on a non volatile data storage device 107. Computer System 101 further comprises input means 103, output means 104 for interaction with a user, e.g. for starting the  
35 program modules and/or for data input, and general input/output

means 108, including a net connection 114, for sending and receiving data. A plurality of computer systems 101 can be connected via the net connection 114 in the form of a network 113. In this case the network computers 113 can be used as  
5 further input/output means, including the use as further storage locations. Computer system 101 further comprises storage means 107.

In case the ERP software 111 carries out the inventive method,  
10 program modules 106, 110 are processed by CPU 105. The inventive processing comprises displaying a dialogue window 115 for entering a state for a electronic data record by process 106. The entered value of the state is given to a process 110, which writes the entered state into a data base in which the  
15 electronic data records are administrated. Further software modules may be available in the ERP software 111 for performing analyses of the electronic data records.

Fig. 2 shows a data structure 201 of an invoice. The data  
20 structure is implemented as a table having a plurality of columns. According to the invention, a column for the state of the invoice is contained. The individual data records of the invoices are implemented as individual lines of table 201. In a table 202 a description is assigned to a state. By means of  
25 table 203 one or more events 1 to n are assigned to a state. By means of table 204 one or more proposals 1 to n are assigned to a state. By means of table 205 one or more events 1 to n are assigned to a state. Tables 202 to 205 are linked to table 201 by the state column.

30

Modifications and adaptations of the present invention will be apparent to those skilled in the art from consideration of the specification and practice of the invention disclosed herein. The foregoing description of an implementation of the invention  
35 has been presented for purposes of illustration and

description. It is not exhaustive and does not limit the invention to the precise form disclosed. Modifications and variations are possible in light of the above teachings or may be acquired from the practicing of the invention. For example, 5 the described implementation includes software, but systems and methods consistent with the present invention may be implemented as a combination of hardware and software or in hardware alone. Additionally, although aspects of the present invention are described for being stored in memory, one skilled 10 in the art will appreciate that these aspects can also be stored on other types of computer-readable media, such as secondary storage devices, for example, hard disks, floppy disks, or CD-ROM; the Internet or other propagation medium; or other forms of RAM or ROM. It is intended that the 15 specification and examples be considered as exemplary only, with a true scope and spirit of the invention being indicated by the following claims.

Computer programs based on the written description figures of 20 this invention are within the skill of an experienced program developer.



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What is claimed is:

1. An electronic data record comprising data of an invoice,  
said record having a plurality of data fields, comprising:  
a data field for characterization of the state of the  
processing of the invoice.
2. The electronic data record of claim 1, wherein said data  
field comprises one or more characters for the  
characterization of said state.
3. The electronic data record of claim 1 or 2, wherein said  
data field is linked to a table, which comprises a  
description of a state.
4. The electronic data record of one or more of claims 1 to 3,  
wherein the said data field is directly or indirectly  
linked to a table, which comprises one or more  
instructions, which depend on said state and which are  
automatically executable by a computer system.
5. The electronic data record of one or more of claims 1 to 4,  
wherein  
said data field is directly or indirectly linked to a  
table, which comprises an assignment of a state to an  
event, which might occur during the processing of the  
invoice.
6. The electronic data record of one or more of claims 1 to 5,  
wherein  
the electronic data record is at least partially accessible  
via the internet and wherein the content of the data field  
for the state or a data field for comments is editable via  
the internet.
7. The electronic data record of one or more of claims 1 to 6,  
wherein

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the data field for the state is linked to a table, which comprises one or more state dependent proposals for changing the state.

5 8. A method for processing electronic data records as of any of claims 1 to 7 by means of one or more processes running in a computer system, comprising:  
calling a dialogue for entering a state by a user.

10 9. The method of claim 8, further comprising:  
assigning the state entered by the user to said data field for the state.

10. The method of claim 8 or 9, further comprising:  
selecting or sorting or evaluating or analyzing the electronic invoices according to the state.

15 11. The method of one or more of claims 8 to 10, further comprising:  
calling a state dependent workflow.

12. The method of claim 11, wherein:  
the state is selectable according to predefinable events.

20 13. The method of one of claims 8 to, 12  
for use in an enterprise resource planning software.

25 14. A computer system for processing electronic data records as of any of claims 1 to 7 by means of one or more processes running in a computer system,, comprising:  
- memory having program instructions;  
- input means for entering data;  
- storage means for storing data;  
- a processor responsive to the program instructions to:  
calling a dialogue for entering a state by a user.

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15. The computer system of claim 14, further comprising:  
assigning the state entered by the user to said data field  
for the state.
16. The computer system of claim 14 or 15, further comprising:  
5 selecting or sorting or evaluating or analyzing the  
electronic invoices according to the state.
17. The computer system of one or more of claims 14 to 16,  
further comprising:  
d) calling a state dependent work flow.
- 10 18. The computer system of claim 17, wherein:  
the state is selectable according to predefinable events.
19. The computer system of one of claims 14 to 18,  
for use in an enterprise resource planning software.
- 15 20. A computer readable medium comprising instructions for  
processing electronic data records as of any of claims 1 to  
7 by means of one or more processes running in a computer  
system,, comprising instructions for:  
calling a dialogue for entering a state by a user.
- 20 21. The computer readable medium of claim 20, further  
comprising:  
assigning the state entered by the user to said data field  
for the state.
- 25 22. The computer readable medium of claim 20 or 21, further  
comprising:  
selecting or sorting or evaluating or analyzing the  
electronic invoices according to the state.
23. The computer readable medium of one or more of claims 20 to  
22, further comprising:  
d) calling a state dependent work flow.

24. The computer readable medium of claim 23, wherein:  
the state is selectable according to predefinable events.
25. The computer readable medium of one of claims 20 to 24,  
for use in an enterprise resource planning software.
- 5 26. A computer data signal embodied in a carrier wave  
comprising:  
code for processing data objects by means of one or more  
processes, said code comprising instructions for:  
said data field is directly or indirectly linked to a  
10 table, which contains assignment of a state to an event,  
which might occur during the processing of the invoice.
27. A computer data signal embodied in a carrier wave  
comprising:  
code for processing electronic data records as of any of  
15 claims 1 to 7 by means of one or more processes running in  
a computer system,, said code comprising instructions for:  
calling a dialogue for entering a state by a user.
28. An electronic data structure for electronic data records  
according to one or more of claims 1 to 7.
- 20 29. Process of using an electronic data record according to one  
or more of claims 1 to 7 or an electronic data structure  
according to claim 28 in an enterprise resource planning  
software.

## Abstract

Electronic Data Record of an Invoice Having a Dunning Key

5 The Invention relates to an electronic data record containing data of an invoice, said record having a plurality of data fields, comprising:  
a data field for characterization of the state of the processing of the invoice.

10 The invention further relates to a method for processing data records of electronic invoices by means of one or more processes running in a computer system having one or more of the electronic data records comprising a step of calling a dialogue for entering a state by a user.

15

Fig. 1

1/2

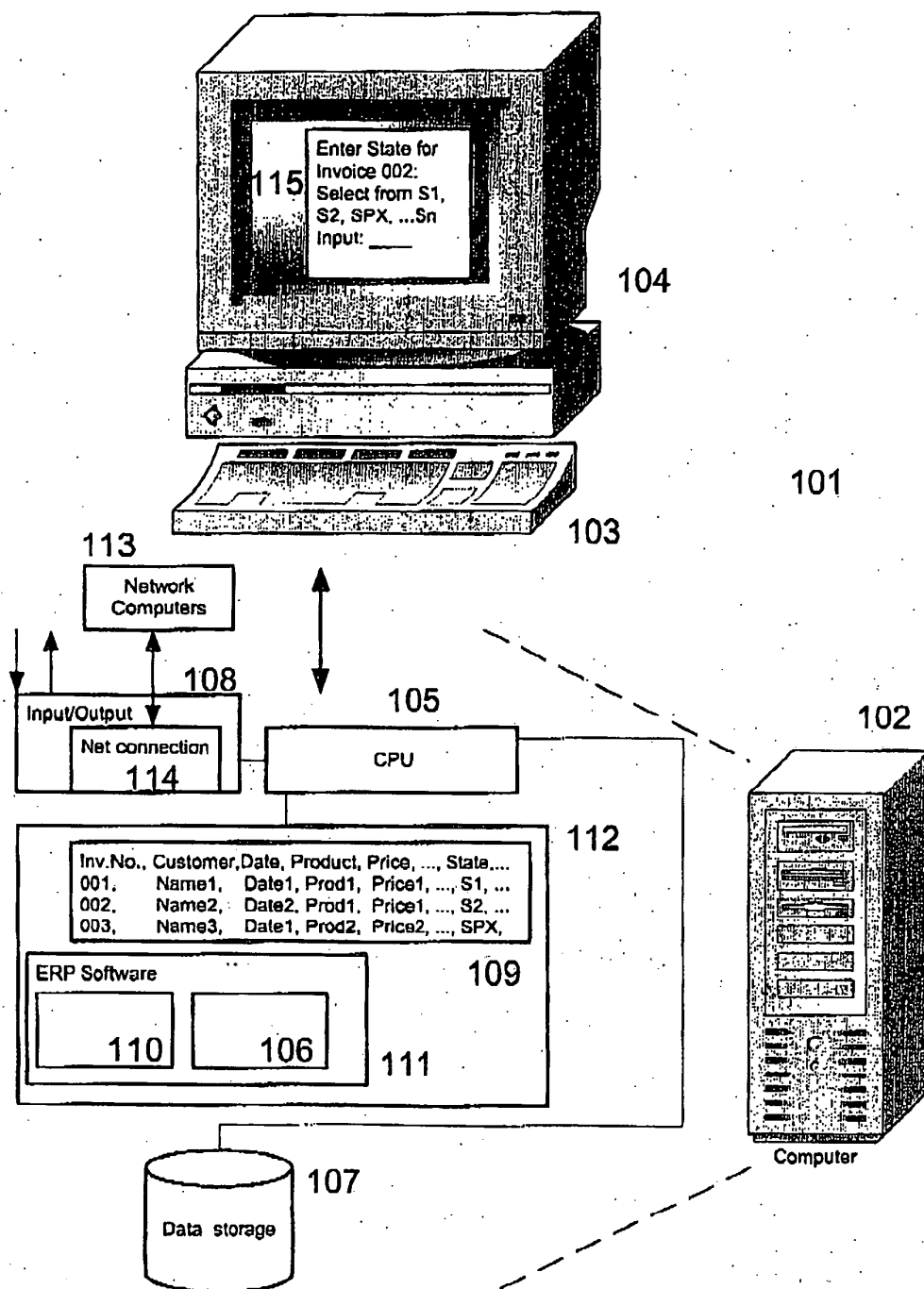


Fig. 1

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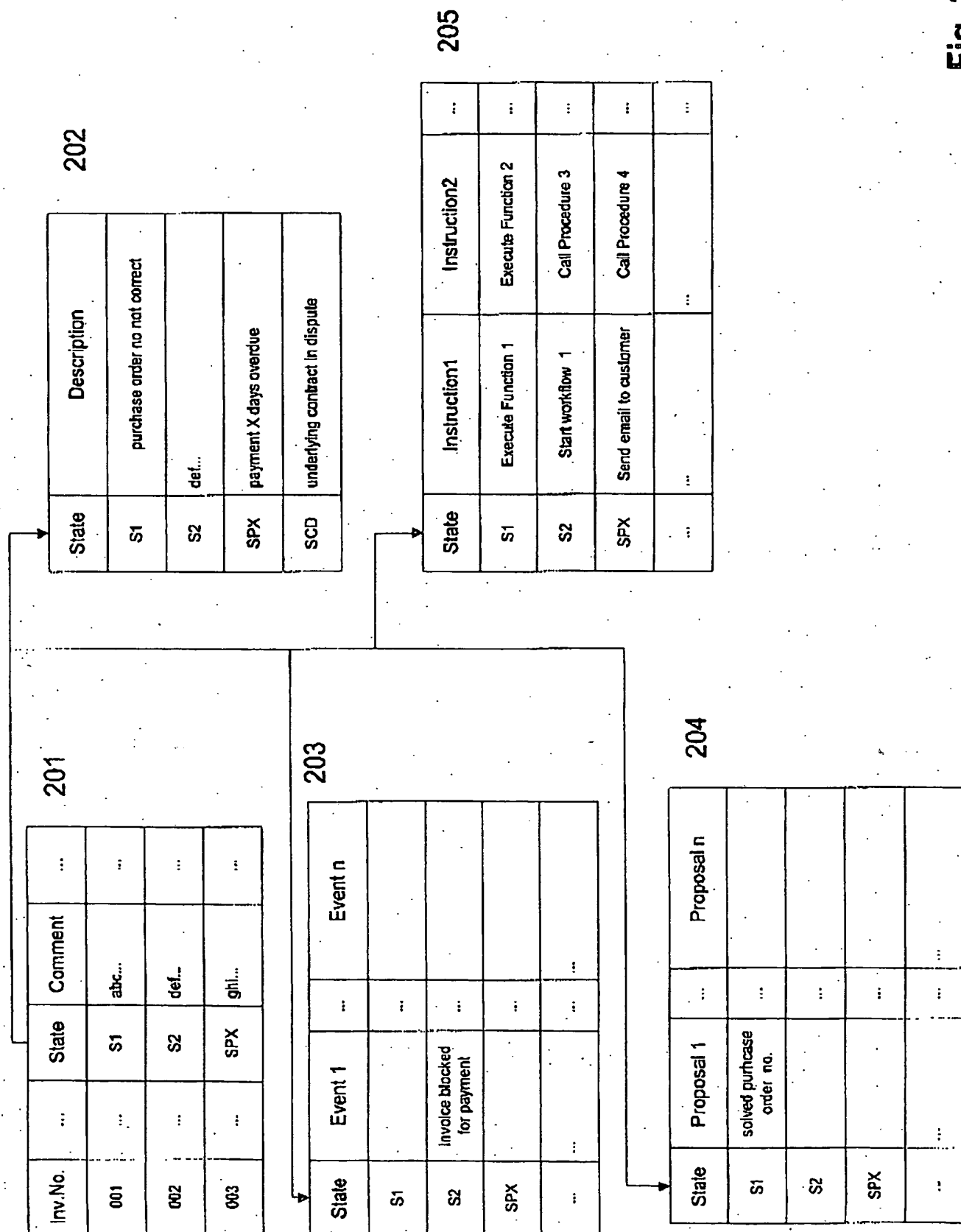


Fig. 2

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